

# *Evaluation Complexity under Structural Restrictions*

Stephan Kreutzer

University of Oxford

Logic Colloquium 2009

## Introduction

*Finite model theory.* All structures in this talk will be finite!

*Finite model theory of well-behaved classes of structures.* Study restricted classes of finite structures with nice properties.

- Results in descriptive complexity theory
- Preservation theorems
- Complexity of formula evaluation

on classes of finite structures which are tree-like, ...

*Evaluation of formulas in finite structures.* Let  $\mathcal{L}$  be a logic such as first-order or monadic second-order logic and let  $\mathcal{C}$  be a class of finite structures.

$MC(\mathcal{L}, \mathcal{C})$

*Input:*  $\mathfrak{A} := (A, \sigma) \in \mathcal{C}$  and  $\varphi \in \mathcal{L}[\sigma]$

*Problem:* Decide  $\mathfrak{A} \models \varphi?$

## Introduction

*Finite model theory.* All structures in this talk will be finite!

*Finite model theory of well-behaved classes of structures.* Study restricted classes of finite structures with nice properties.

- Results in descriptive complexity theory
- Preservation theorems
- Complexity of formula evaluation

on classes of finite structures which are tree-like, ...

*Evaluation of formulas in finite structures.* Let  $\mathcal{L}$  be a logic such as first-order or monadic second-order logic and let  $\mathcal{C}$  be a class of finite structures.

$MC(\mathcal{L}, \mathcal{C})$

*Input:*  $\mathfrak{A} := (A, \sigma) \in \mathcal{C}$  and  $\varphi \in \mathcal{L}[\sigma]$

*Problem:* Decide  $\mathfrak{A} \models \varphi?$

## Monadic Second-Order Logic

*Note.* For simplicity, we only consider logics over graphs here.

### Monadic Second-Order Logic with Edge Set Quantification (MSO<sub>2</sub>).

First-Order Logic + Quantification over sets of edges or vertices

- Quantification over sets  $U$  of edges  
Semantics. In  $G := (V, E)$ ,  $\exists U/\forall U$  range over sets  $U \subseteq E$
- Quantification over sets  $X, Y$  of vertices
- Quantification over individual vertices  $x, y$
- $x \in Y$ ,  $(x, y) \in U$ ,  $X \cap Y = \emptyset$ , ...
- Boolean connectives

*Example.* The following formula expresses 3-COLOURABILITY

$$\underbrace{\exists C_1 \exists C_2 \exists C_3}_{\text{there are sets } C_1, C_2, C_3} \left( \underbrace{\forall x \bigvee_{i=1}^3 x \in C_i}_{\text{ev. node has a col.}} \wedge \underbrace{\forall x \forall y ((x, y) \in E \rightarrow \bigwedge_{i=1}^3 \neg(x \in C_i \wedge y \in C_i))}_{\text{endpoints of edges have different colours}} \right)$$

# Monadic Second-Order Logic

## *Hamiltonian cycles.*

We can express that a graph  $G := (V, E)$  has a Hamiltonian cycle.

There exists a set  $U \subseteq E$  of edges such that

- the graph induced by  $U$  is connected
- every vertex in  $G$  is incident to exactly two edges in  $U$

*Note.* Here we need quantification over sets of edges, i.e.  $\text{MSO}_2$ .

*Guarded Second-Order Logic.* What we are really using is guarded second-order logic.

In this way, everything extends to general finite structures.

# Classical Complexity of First-Order Logic

*Evaluation of first-order formulas on the class of all finite structures.*

*Input.* Finite structure  $\mathfrak{A} := (A, \sigma)$  and formula  $\varphi \in \text{FO}[\sigma]$   
*Problem.* Decide  $\mathfrak{A} \models \varphi$ ?

*Naïve algorithm.* For quantifiers, try all possibilities.

- Existential quantification:  $\varphi := \exists x \psi$   
for all  $a \in A$  check whether  $(\mathfrak{A}, c \mapsto a) \models \psi[x/c]$   
where  $c$  is a new constant symbol.
- Boolean connectives: easy
- Atomic formulae: direct look up in the structure

*Running time and space:*

time:  $\mathcal{O}(|\varphi| \cdot |\mathfrak{A}|^{|\varphi|})$  exponential in the size of the formula

space:  $\mathcal{O}(|\varphi| \cdot \log |\mathfrak{A}|)$

# Classical Complexity of Evaluation Problems

## Running time and space:

time:  $\mathcal{O}(|\varphi| \cdot |\mathfrak{A}|^{|\varphi|})$  exponential in the size of the formula

space:  $\mathcal{O}(|\varphi| \cdot \log |\mathfrak{A}|)$

## Theorem.

(Vardi 82)

1. For every fixed formula  $\varphi \in \text{FO}$ , deciding whether  $\mathfrak{A} \models \varphi$  is in PTIME.
2. First-Order Model-Checking  $\text{MC}(\text{FO}, \text{STRUCT})$  is PSPACE-complete even for a fixed two element structure  $\mathfrak{A}$ .

Proof. Reduce satisfiability for Quantified Boolean Formulae to FO Model-Checking on a two element structure.

3. MSO Model-Checking  $\text{MC}(\text{FO}, \text{STRUCT})$  is PSPACE-complete.

**Consequence.** Classical complexity is not the right framework in which to study the complexity of formula evaluation relative to a class  $\mathcal{C}$  of structures.

## Classical Complexity of Evaluation Problems

**Words and Trees.** Every property of finite words or trees definable in **Monadic Second-Order Logic** can be decided in linear time.

(Follows from work by Büchi, Rabin, ... on decidability of S1S, S2S)

Idea. Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$  such that

$$T \models \varphi \text{ iff } \mathcal{A}_\varphi \text{ accepts } T$$

2. let  $\mathcal{A}$  run on  $T$ .

**Consequence.** Deciding  $T \models \varphi$  can be done in time  $f(|\varphi|) + \mathcal{O}(|T|)$

where  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a computable function.

This motivates to study the **parameterised complexity** of formula evaluation.



## Classical Complexity of Evaluation Problems

**Words and Trees.** Every property of finite words or trees definable in **Monadic Second-Order Logic** can be decided in linear time.

(Follows from work by Büchi, Rabin, ... on decidability of S1S, S2S)

**Idea.** Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$  such that

$$T \models \varphi \text{ iff } \mathcal{A}_\varphi \text{ accepts } T$$

2. let  $\mathcal{A}$  run on  $T$ .

**Consequence.** Deciding  $T \models \varphi$  can be done in time  $f(|\varphi|) + \mathcal{O}(|T|)$   
where  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a computable function.

This motivates to study the **parameterised complexity** of formula evaluation.

## Classical Complexity of Evaluation Problems

**Words and Trees.** Every property of finite words or trees definable in **Monadic Second-Order Logic** can be decided in linear time.

(Follows from work by Büchi, Rabin, ... on decidability of S1S, S2S)

**Idea.** Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$  such that

$$T \models \varphi \text{ iff } \mathcal{A}_\varphi \text{ accepts } T$$

2. let  $\mathcal{A}$  run on  $T$ .

**Consequence.** Deciding  $T \models \varphi$  can be done in time  $f(|\varphi|) + \mathcal{O}(|T|)$

where  $f : \mathbb{N} \rightarrow \mathbb{N}$  is a computable function.

This motivates to study the **parameterised complexity** of formula evaluation.

## Parameterised Complexity of Evaluation Problems

Let  $\mathcal{L}$  be a logic and  $\mathcal{C}$  be a class of finite structures.

We look at parameterised evaluation problems of the form.

$p\text{-MC}(\mathcal{L}, \mathcal{C})$
<i>Input:</i> Structure $\mathfrak{A} \in \mathcal{C}, \varphi \in \mathcal{L}$
<i>Parameter:</i> $ \varphi $
<i>Problem:</i> Decide $\mathfrak{A} \models \varphi$

A problem is **fixed-parameter tractable** (fpt) if it can be solved in time

$$f(|\varphi|) \cdot |\mathfrak{A}|^{\mathcal{O}(1)} \quad f : \mathbb{N} \rightarrow \mathbb{N} : \text{computable function.}$$

**Definition.** FPT class of problems that can be solved in time  $f(k) \cdot n^{\mathcal{O}(1)}$ .

Parameter:  $k := |\varphi|$       Input size:  $n := |\mathfrak{A}|$

# Parameterised Complexity of First-Order Logic

## Parameterised Complexity Theory.

- The class **FPT** is the parameterised analogue of **PTIME**.
- There is a hierarchy  $\text{FPT} \subseteq \text{W}[1] \subseteq \text{W}[2] \subseteq \text{W}[3] \subseteq \text{W}[4] \subseteq \dots \subseteq \text{AW}[*]$  which is believed to be strict.
- $\text{W}[1]$  plays the rôle of NP as notion for **intractability**.

*Recall.* Every property of finite words or trees definable in Monadic Second-Order Logic (MSO) can be decided in linear time ( $f(|\varphi|) + |T|$ ).

Idea. Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$
2. let  $A$  run on  $T$ .

*Consequence.*  $\text{MC}(\text{MSO}_2, \mathcal{T}) \in \text{FPT}$ , where  $\mathcal{T}$  is class of finite trees.

*Parameterised complexity of first-order logic.* FO model-checking is complete for  $\text{AW}[*]$  and hence not in FPT. (unless the W-hierarchy collapses)

# Parameterised Complexity of First-Order Logic

## Parameterised Complexity Theory.

- The class **FPT** is the parameterised analogue of **PTIME**.
- There is a hierarchy  $\text{FPT} \subseteq \text{W}[1] \subseteq \text{W}[2] \subseteq \text{W}[3] \subseteq \text{W}[4] \subseteq \dots \subseteq \text{AW}[*]$  which is believed to be strict.
- $\text{W}[1]$  plays the rôle of NP as notion for **intractability**.

**Recall.** Every property of finite words or trees definable in **Monadic Second-Order Logic (MSO)** can be decided in linear time ( $f(|\varphi|) + |T|$ ).

**Idea.** Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$
2. let  $A$  run on  $T$ .

**Consequence.**  $\text{MC}(\text{MSO}_2, \mathcal{T}) \in \text{FPT}$ , where  $\mathcal{T}$  is class of finite trees.

*Parameterised complexity of first-order logic.* FO model-checking is complete for  $\text{AW}[*]$  and hence not in FPT. (unless the W-hierarchy collapses)

# Parameterised Complexity of First-Order Logic

## Parameterised Complexity Theory.

- The class **FPT** is the parameterised analogue of **PTIME**.
- There is a hierarchy  $\text{FPT} \subseteq \text{W}[1] \subseteq \text{W}[2] \subseteq \text{W}[3] \subseteq \text{W}[4] \subseteq \dots \subseteq \text{AW}[*]$  which is believed to be strict.
- $\text{W}[1]$  plays the rôle of NP as notion for **intractability**.

**Recall.** Every property of finite words or trees definable in **Monadic Second-Order Logic (MSO)** can be decided in linear time ( $f(|\varphi|) + |T|$ ).

**Idea.** Given a formula  $\varphi \in \text{MSO}_2$  and a finite tree  $T$

1. translate  $\varphi$  into an equivalent tree-automaton  $\mathcal{A}_\varphi$
2. let  $A$  run on  $T$ .

**Consequence.**  $\text{MC}(\text{MSO}_2, \mathcal{T}) \in \text{FPT}$ , where  $\mathcal{T}$  is class of finite trees.

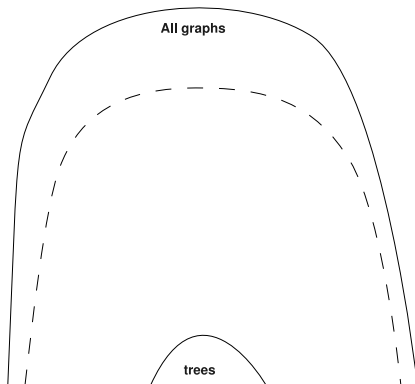
**Parameterised complexity of first-order logic.** FO model-checking is complete for  $\text{AW}[*]$  and hence not in FPT. (unless the W-hierarchy collapses)

# *Parameterised Complexity of Evaluation Problems*

## *Complexity of First-Order and Monadic Second-Order Logic.*

- MSO and FO-model checking is FPT on trees and words.
- It is not FPT in general.

Where is the border of tractability for first-order logic?

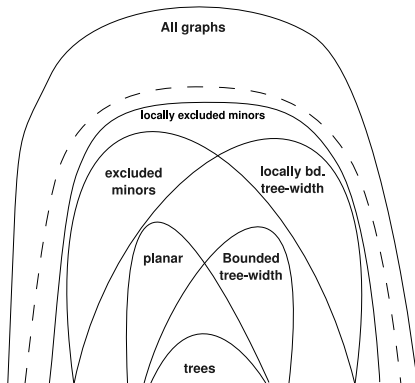


# Parameterised Complexity of Evaluation Problems

## Complexity of First-Order and Monadic Second-Order Logic.

- MSO and FO-model checking is FPT on trees and words.
- It is not FPT in general.

Where is the border of tractability for first-order logic?





## *Motivation: Algorithmic Meta-Theorems*

### *Motivation from Logic.*

- Such characterisations help understanding the complexity of logics.
- They yield tools to decide for an application area such as database theory which logic might be useful and tractable in that area.

*Algorithmic Motivation.* Designing FPT algorithms for graph problems such as DOMINATING SET on classes of graphs excluding a minor, ... is a well studied problem in algorithmic graph theory.

*Algorithmic Meta-Theorems.* Every problem definable in first-order logic can be decided efficiently on every graph class excluding a minor.

- Algorithmic Meta-Theorems explain tractability results for a wide range of natural problems (all problems definable in the logic)
- They yield a simple way of proving that a problem is tractable on a certain class of graphs.

# Outline

Where is the border of tractability for first-order logic?

## Questions.

1. Identify classes  $\mathcal{C}$  where  $\text{MC}(\text{FO}, \mathcal{C})$  or  $\text{MC}(\text{MSO}_2, \mathcal{C})$  becomes FPT.

What are the most general classes of structures where first-order or monadic second-order model-checking becomes FPT?

↪ Part I: Algorithmic Meta-Theorems

2. Can we exactly characterise the classes  $\mathcal{C}$  of finite structures where FO or MSO model-checking is FPT?

Find criteria for intractability with the aim of identifying a property  $\mathcal{P}$  so that MSO is FPT on a class  $\mathcal{C}$  if, and only if,  $\mathcal{C}$  has property  $\mathcal{P}$ .

With today's technology this will have to be subject to assumptions in complexity theory. If  $\text{PSPACE} = \text{PTIME}$  then MSO is FPT in general.

↪ Part II: Intractability of MSO Model-Checking

## Part I: Algorithmic Meta-Theorems

## Courcelle's Theorem

*Note.* For simplicity we only consider classes of graphs.

The results go through for general structures and guarded second-order logic using their Gaifman-graph.

*Theorem.*

(Courcelle 1990)

For any class  $\mathcal{C}$  of graphs of bounded tree-width

$\text{MC}(\text{MSO}_2, \mathcal{C})$

*Input:* Graph  $G \in \mathcal{C}$ ,  $\varphi \in \text{MSO}_2$

*Parameter:*  $|\varphi|$

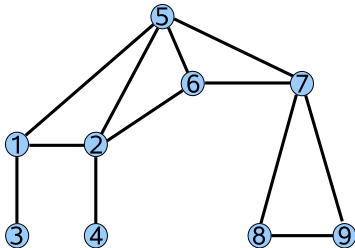
*Problem:* Decide  $G \models \varphi$

is fixed-parameter tractable (linear time for each fixed  $\varphi$ ).

## Tree-Width

The tree-width of a graph measures its similarity to a tree.

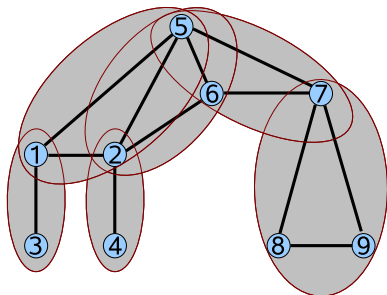
A graph has **tree-width**  $\leq k$  if it can be covered by sub-graphs of size  $\leq (k + 1)$  in a tree-like fashion.



## Tree-Width

The tree-width of a graph measures its similarity to a tree.

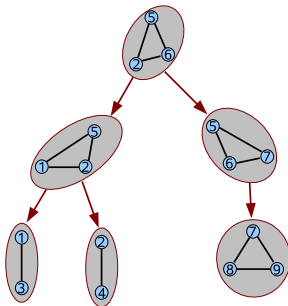
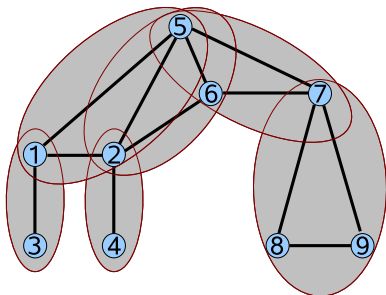
A graph has **tree-width**  $\leq k$  if it can be covered by sub-graphs of size  $\leq (k + 1)$  in a tree-like fashion.



# Tree-Width

The tree-width of a graph measures its similarity to a tree.

A graph has **tree-width**  $\leq k$  if it can be covered by sub-graphs of size  $\leq (k + 1)$  in a tree-like fashion.



# Tree-Width

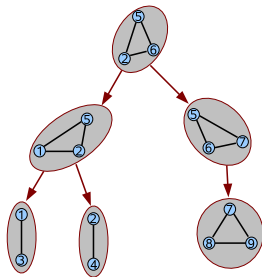
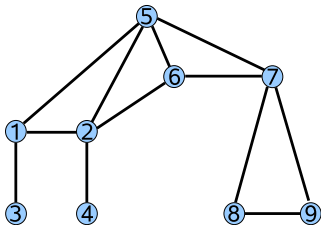
## Definition.

A tree-decomposition of a graph  $G$  is a pair  $\mathcal{T} := (T, (B_t)_{t \in V^T})$  where

- $T$  is a tree
- $B_t \subseteq V(G)$  for all  $t \in V^T$

such that

1. for every edge  $\{u, v\} \in E(G)$  there is  $t \in V(T)$  with  $u, v \in B_t$
2. for all  $v \in V(G)$  the set  $\{t : v \in B_t\}$  is non-empty and connected.





# Tree-Width

## Definition:

A tree-decomposition of a graph  $G$  is a pair  $\mathcal{T} := (T, (B_t)_{t \in V^T})$  where

- $T$  is a tree
- $B_t \subseteq V(G)$  for all  $t \in V^T$

such that

1. for every edge  $\{u, v\} \in E(G)$  there is  $t \in V(T)$  with  $u, v \in B_t$
2. for all  $v \in V(G)$  the set  $\{t : v \in B_t\}$  is non-empty and connected.

The width of  $\mathcal{T}$  is  $\max\{|B_t| - 1 : t \in V(T)\}$

The tree-width  $\text{tw}(G)$  of  $G$  is the minimal width of any of its tree-dec.

*Definition.* A class  $\mathcal{C}$  has bounded tree-width if there is a constant  $k \in \mathbb{N}$  such that  $\text{tw}(G) \leq k$  for all  $G \in \mathcal{C}$ .

# Tree-Width

## Definition:

A tree-decomposition of a graph  $G$  is a pair  $\mathcal{T} := (T, (B_t)_{t \in V^T})$  where

- $T$  is a tree
- $B_t \subseteq V(G)$  for all  $t \in V^T$

such that

1. for every edge  $\{u, v\} \in E(G)$  there is  $t \in V(T)$  with  $u, v \in B_t$
2. for all  $v \in V(G)$  the set  $\{t : v \in B_t\}$  is non-empty and connected.

The width of  $\mathcal{T}$  is  $\max\{|B_t| - 1 : t \in V(T)\}$

The tree-width  $\text{tw}(G)$  of  $G$  is the minimal width of any of its tree-dec.

*Definition.* A class  $\mathcal{C}$  has bounded tree-width if there is a constant  $k \in \mathbb{N}$  such that  $\text{tw}(G) \leq k$  for all  $G \in \mathcal{C}$ .

# Tree-Width

## Definition:

A tree-decomposition of a graph  $G$  is a pair  $\mathcal{T} := (T, (B_t)_{t \in V^T})$  where

- $T$  is a tree
- $B_t \subseteq V(G)$  for all  $t \in V^T$

such that

1. for every edge  $\{u, v\} \in E(G)$  there is  $t \in V(T)$  with  $u, v \in B_t$
2. for all  $v \in V(G)$  the set  $\{t : v \in B_t\}$  is non-empty and connected.

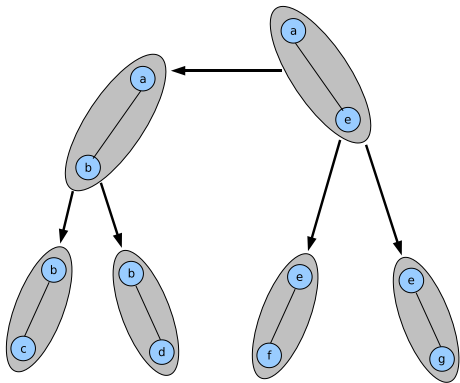
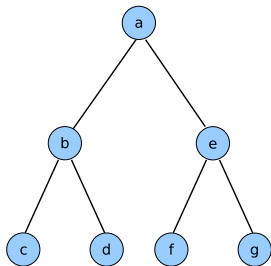
The width of  $\mathcal{T}$  is  $\max\{|B_t| - 1 : t \in V(T)\}$

The tree-width  $\text{tw}(G)$  of  $G$  is the minimal width of any of its tree-dec.

**Definition.** A class  $\mathcal{C}$  has bounded tree-width if there is a constant  $k \in \mathbb{N}$  such that  $\text{tw}(G) \leq k$  for all  $G \in \mathcal{C}$ .

## Examples

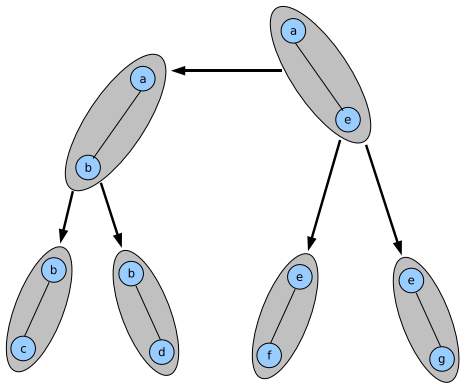
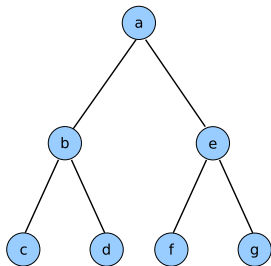
**Example 1:** Trees/Forests have tree-width 1



**Proposition:** Acyclic graphs are precisely the graphs of tree-width 1.

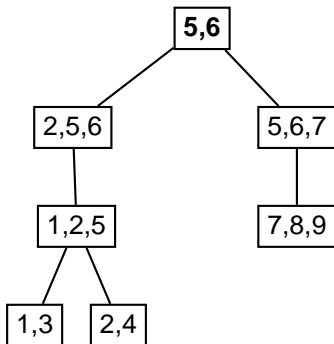
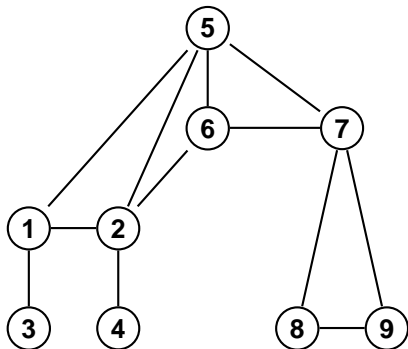
## Examples

**Example 1:** Trees/Forests have tree-width 1

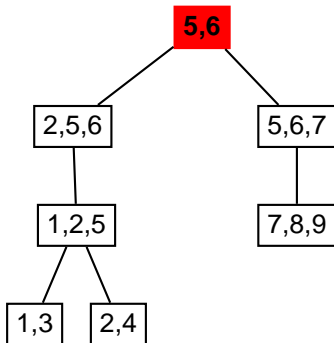
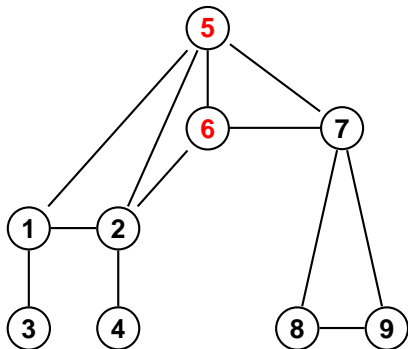


**Proposition:** Acyclic graphs are precisely the graphs of tree-width 1.

## Examples



## Examples



# Grids

Grids are examples for graphs with very high tree-width.

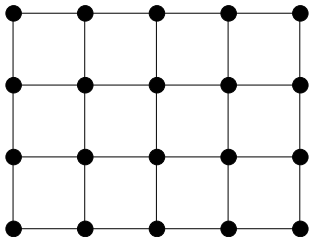
*Lemma.* The tree-width of the  $(n \times n)$ -grid is  $n$ .

*Excluded Grid Theorem.*

(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid as a minor.

$(4 \times 5)$ -grid





# Grids

Grids are examples for graphs with very high tree-width.

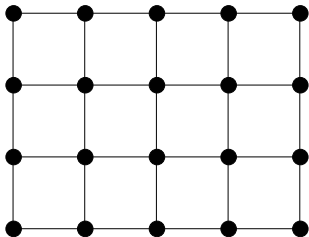
*Lemma.* The tree-width of the  $(n \times n)$ -grid is  $n$ .

*Excluded Grid Theorem.*

(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid as a minor.

$(4 \times 5)$ -grid



## Courcelle's Theorem

*Theorem.*

(Courcelle 1990)

For any class  $\mathcal{C}$  of graphs of bounded tree-width

$\text{MC}(\text{MSO}_2, \mathcal{C})$

*Input:* Graph  $G \in \mathcal{C}$ ,  $\varphi \in \text{MSO}_2$

*Parameter:*  $|\varphi|$

*Problem:* Decide  $G \models \varphi$

is fixed-parameter tractable (linear time for each fixed  $\varphi$ ).

*Proof.*

*Theorem.*

(Bodlaender 1996)

There is an algorithm that, given a graph  $G$  constructs a tree-decomposition of minimal width in time

$$\mathcal{O}(2^{\text{tw}(G)^3} |G|).$$

Hence, if  $\mathcal{C}$  is a class of graphs of tree-width at most  $k$  then for all  $G \in \mathcal{C}$  we can compute an optimal tree-decomposition in linear time.

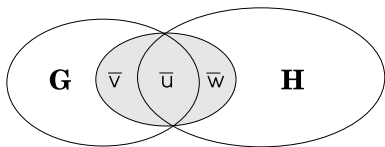
## Feferman-Vaught Style Theorems

**Notation.** Let  $G$  be a graph and  $\bar{v}$  be a tuple of vertices.

$\text{tp}_q(G, \bar{v})$ : class of  $\text{MSO}_2$ -formulae of quantifier-rank  $\leq q$  true at  $\bar{v}$

**Theorem.** Let  $G, H$  be graphs. Let  $\bar{v} \in V(G)$  and  $\bar{w} \in V(H)$  and let  $\bar{u} = V(G) \cap V(H)$ .

1. Then for all  $q \geq 0$ ,  
 $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  is determined by  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .
2. Furthermore, there is an algorithm that computes  $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  from  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .



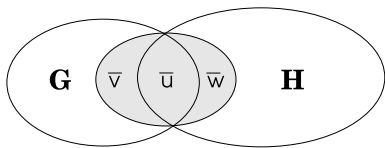
## Feferman-Vaught Style Theorems

**Notation.** Let  $G$  be a graph and  $\bar{v}$  be a tuple of vertices.

$\text{tp}_q(G, \bar{v})$ : class of  $\text{MSO}_2$ -formulae of quantifier-rank  $\leq q$  true at  $\bar{v}$

**Theorem.** Let  $G, H$  be graphs. Let  $\bar{v} \in V(G)$  and  $\bar{w} \in V(H)$  and let  $\bar{u} = V(G) \cap V(H)$ .

1. Then for all  $q \geq 0$ ,  
 $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  is determined by  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .
2. Furthermore, there is an algorithm that computes  $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  from  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .



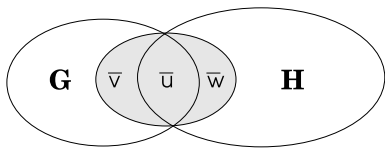
## Feferman-Vaught Style Theorems

*Notation.* Let  $G$  be a graph and  $\bar{v}$  be a tuple of vertices.

$\text{tp}_q(G, \bar{v})$ : class of  $\text{MSO}_2$ -formulae of quantifier-rank  $\leq q$  true at  $\bar{v}$

*Theorem.* Let  $G, H$  be graphs. Let  $\bar{v} \in V(G)$  and  $\bar{w} \in V(H)$  and let  $\bar{u} = V(G) \cap V(H)$ .

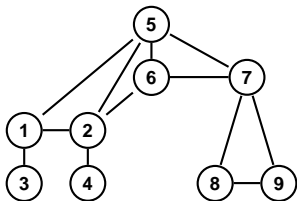
1. Then for all  $q \geq 0$ ,  
 $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  is determined by  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .
2. Furthermore, there is an algorithm that computes  $\text{tp}_q(G \cup H, \bar{u}\bar{v}\bar{w})$  from  $\text{tp}_q(G, \bar{u}\bar{v})$  and  $\text{tp}_q(\bar{u}\bar{w})$ .



## Courcelle's Theorem: Algorithm

**Given.** Graph  $G$  of tree-width  $\leq k$       MSO<sub>2</sub>-formula  $\varphi$  of q.r.  $q$

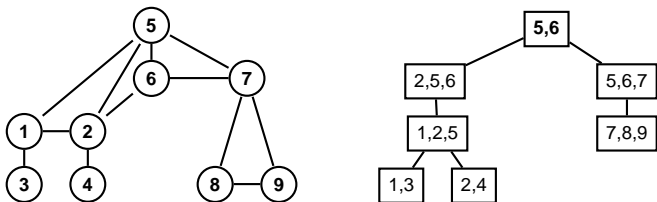
1. Compute a tree-decomposition  $\mathcal{T} := (T, (B_t)_{t \in V(T)})$  of  $G$
2. Compute the MSO<sub>q</sub>-type  $\text{tp}(B_t)$  for each leaf  $t$
3. Bottom up, compute  $\text{tp}_q(G[\bigcup_{t \prec s} B_s], B_t)$  for each  $t \in V(T)$   
MSO<sub>q</sub>-type of  $B_t$  in  $G[\bigcup_{t \prec s} B_s]$  (graph induced by  $\bigcup_{t \prec s} B_s$ )
4. Check whether  $\varphi \in \text{tp}_q(G, B_r)$  at the root  $r$  of  $G$



## Courcelle's Theorem: Algorithm

**Given.** Graph  $G$  of tree-width  $\leq k$     MSO<sub>2</sub>-formula  $\varphi$  of q.r.  $q$

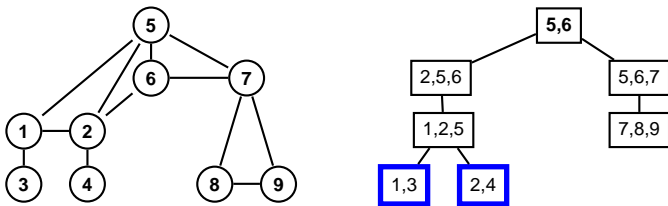
1. Compute a tree-decomposition  $\mathcal{T} := (T, (B_t)_{t \in V^T})$  of  $G$
2. Compute the MSO<sub>q</sub>-type  $\text{tp}(B_t)$  for each leaf  $t$
3. Bottom up, compute  $\text{tp}_q(G[\bigcup_{t \prec s} B_s], B_t)$  for each  $t \in V(T)$   
MSO<sub>q</sub>-type of  $B_t$  in  $G[\bigcup_{t \prec s} B_s]$  (graph induced by  $\bigcup_{t \prec s} B_s$ )
4. Check whether  $\varphi \in \text{tp}_q(G, B_r)$  at the root  $r$  of  $G$



## Courcelle's Theorem: Algorithm

**Given.** Graph  $G$  of tree-width  $\leq k$     MSO<sub>2</sub>-formula  $\varphi$  of q.r.  $q$

1. Compute a tree-decomposition  $\mathcal{T} := (T, (B_t)_{t \in V(T)})$  of  $G$
2. Compute the MSO<sub>q</sub>-type  $\text{tp}(B_t)$  for each leaf  $t$
3. Bottom up, compute  $\text{tp}_q(G[\bigcup_{t \prec s} B_s], B_t)$  for each  $t \in V(T)$   
MSO<sub>q</sub>-type of  $B_t$  in  $G[\bigcup_{t \prec s} B_s]$  (graph induced by  $\bigcup_{t \prec s} B_s$ )
4. Check whether  $\varphi \in \text{tp}_q(G, B_r)$  at the root  $r$  of  $G$

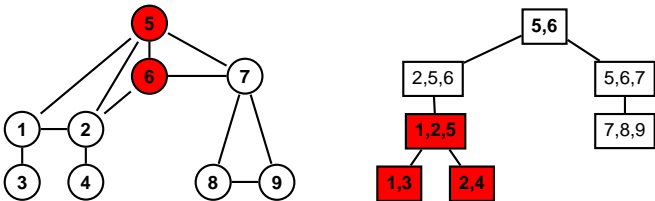




## Courcelle's Theorem: Algorithm

**Given.** Graph  $G$  of tree-width  $\leq k$     MSO<sub>2</sub>-formula  $\varphi$  of q.r.  $q$

1. Compute a tree-decomposition  $\mathcal{T} := (T, (B_t)_{t \in V(T)})$  of  $G$
2. Compute the MSO<sub>q</sub>-type  $\text{tp}(B_t)$  for each leaf  $t$
3. Bottom up, compute  $\text{tp}_q(G[\bigcup_{t \prec s} B_s], B_t)$  for each  $t \in V(T)$   
MSO<sub>q</sub>-type of  $B_t$  in  $G[\bigcup_{t \prec s} B_s]$  (graph induced by  $\bigcup_{t \prec s} B_s$ )
4. Check whether  $\varphi \in \text{tp}_q(G, B_r)$  at the root  $r$  of  $G$



# Courcelle's Theorem

**Theorem:**

(Courcelle 1990)

For any class  $\mathcal{C}$  of graphs of bounded tree-width

$\text{MC}(\text{MSO}_2, \mathcal{C})$

*Input:* Graph  $\mathbf{G} \in \mathcal{C}$ ,  $\varphi \in \text{MSO}$

*Parameter:*  $|\varphi|$

*Problem:* Decide  $\mathbf{G} \models \varphi$

is fixed-parameter tractable (linear time for each fixed  $\varphi$ ).

What about the parameter dependence?

**Theorem:**

(Frick, Grohe, 01)

1. Unless  $\text{P}=\text{NP}$ , there is no fpt-algorithm for MSO model checking on trees with elementary parameter dependence.
2. Unless  $\text{FPT}=\text{W}[1]$ , there is no fpt-algorithm for FO model checking on trees with elementary parameter dependence.

# Courcelle's Theorem

**Theorem:**

(Courcelle 1990)

For any class  $\mathcal{C}$  of graphs of bounded tree-width

$\text{MC}(\text{MSO}_2, \mathcal{C})$

*Input:* Graph  $G \in \mathcal{C}$ ,  $\varphi \in \text{MSO}$

*Parameter:*  $|\varphi|$

*Problem:* Decide  $G \models \varphi$

is fixed-parameter tractable (linear time for each fixed  $\varphi$ ).

## What about the parameter dependence?

**Theorem:**

(Frick, Grohe, 01)

1. Unless  $P=NP$ , there is no fpt-algorithm for MSO model checking on trees with elementary parameter dependence.
2. Unless  $FPT=W[1]$ , there is no fpt-algorithm for FO model checking on trees with elementary parameter dependence.

# Courcelle's Theorem

**Theorem:**

(Courcelle 1990)

For any class  $\mathcal{C}$  of graphs of bounded tree-width

$\text{MC}(\text{MSO}_2, \mathcal{C})$

*Input:* Graph  $G \in \mathcal{C}$ ,  $\varphi \in \text{MSO}$

*Parameter:*  $|\varphi|$

*Problem:* Decide  $G \models \varphi$

is fixed-parameter tractable (linear time for each fixed  $\varphi$ ).

## What about the parameter dependence?

**Theorem:**

(Frick, Grohe, 01)

1. Unless  $P=NP$ , there is no fpt-algorithm for MSO model checking on trees with elementary parameter dependence.
2. Unless  $FPT=W[1]$ , there is no fpt-algorithm for FO model checking on trees with elementary parameter dependence.

## Further Algorithmic Meta-Theorems

**Monadic Second-Order Logic.** If we disallow quantification over sets of edges then  $\text{MSO}_1$  is fixed-par. tractable on classes of bounded clique width.

*For first-order logic.* First-order model-checking is fixed-parameter tractable on on all classes of graphs

- of bounded degree (Seese 96)
- which are planar or of bounded local tree-width (Frick, Grohe 01)
- exclude a fixed minor (Flum, Grohe 01)
- locally exclude a minor (Dawar, Grohe, K. 07)

**Approximation.** Every optimisation problem definable in first-order logic can be approximated in polynomial time to any fixed constant factor on  $H$ -minor free graphs.

(Dawar, Grohe, K., Schweikardt 06)

## Further Algorithmic Meta-Theorems

**Monadic Second-Order Logic.** If we disallow quantification over sets of edges then  $\text{MSO}_1$  is fixed-par. tractable on classes of bounded clique width.

**For first-order logic.** First-order model-checking is fixed-parameter tractable on on all classes of graphs

- of bounded degree (Seese 96)
- which are planar or of bounded local tree-width (Frick, Grohe 01)
- exclude a fixed minor (Flum, Grohe 01)
- locally exclude a minor (Dawar, Grohe, K. 07)

**Approximation.** Every optimisation problem definable in first-order logic can be approximated in polynomial time to any fixed constant factor on  $H$ -minor free graphs.

(Dawar, Grohe, K., Schweikardt 06)

## Further Algorithmic Meta-Theorems

**Monadic Second-Order Logic.** If we disallow quantification over sets of edges then  $\text{MSO}_1$  is fixed-par. tractable on classes of bounded clique width.

**For first-order logic.** First-order model-checking is fixed-parameter tractable on on all classes of graphs

- of bounded degree (Seese 96)
- which are planar or of bounded local tree-width (Frick, Grohe 01)
- exclude a fixed minor (Flum, Grohe 01)
- locally exclude a minor (Dawar, Grohe, K. 07)

**Approximation.** Every optimisation problem definable in first-order logic can be approximated in polynomial time to any fixed constant factor on  $H$ -minor free graphs.

(Dawar, Grohe, K., Schweikardt 06)

## Tools Used For First-Order Meta-Theorems

The main logical tool used for first-order meta-theorems are locality theorems.

*Theorem:*

(Gaifman, 1981)

Every first-order sentence  $\varphi \in \text{FO}$  is equivalent to a Boolean combination of basic local sentences.

**Basic local sentence:**

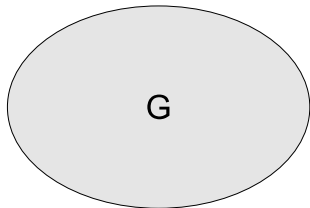
$$\varphi := \exists x_1 \dots \exists x_m \bigwedge_{i \neq j} \text{dist}(x_i, x_j) > 2r \wedge \bigwedge_{i=1}^k \psi(x_i).$$

where  $\psi$  is  $r$ -local in the Gaifman-graph.



## FO Model Checking: Decomposing a Graph

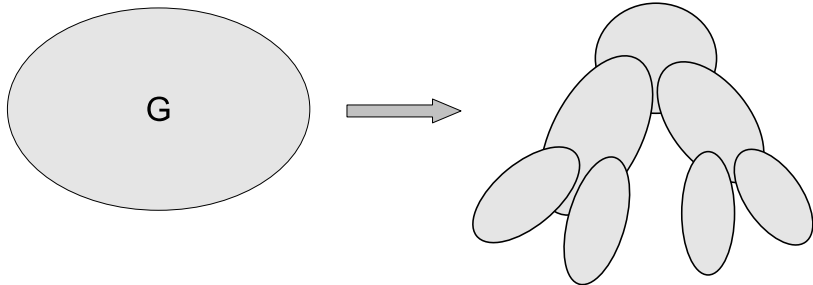
**Given:**  $\mathcal{C}$  class of graphs excluding a minor  $H$   
**Input:** Graph  $G$  such that  $H \not\leq G$  and  $\varphi \in \text{FO}$   
**Parameter:**  $|\varphi|$   
**Problem:**  $G \models \varphi$



$G$  excludes  $H$   $\rightsquigarrow$  Decomp. theorem, Robertson, Seymour

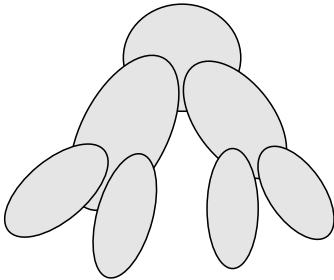
# FO Model Checking: Decomposing a Graph

**Given:**  $\mathcal{C}$  class of graphs excluding a minor  $H$   
**Input:** Graph  $G$  such that  $H \not\leq G$  and  $\varphi \in \text{FO}$   
**Parameter:**  $|\varphi|$   
**Problem:**  $G \models \varphi$



$G$  excludes  $H$   $\rightsquigarrow$  Decomp. theorem, Robertson, Seymour

## *FO Model Checking: Decomposing a Graph*

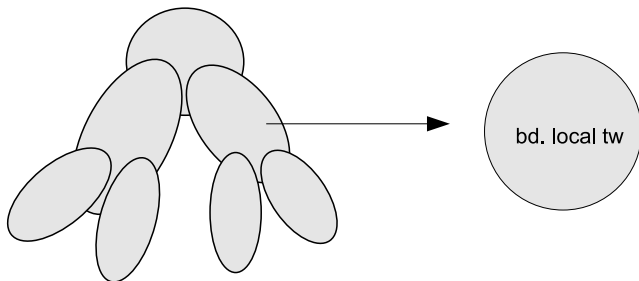


***In a block:*** Local tree-width (almost) bounded by a function  $\lambda$

We can solve the problem in each block.

Extend this to the complete graph.

## FO Model Checking: Decomposing a Graph

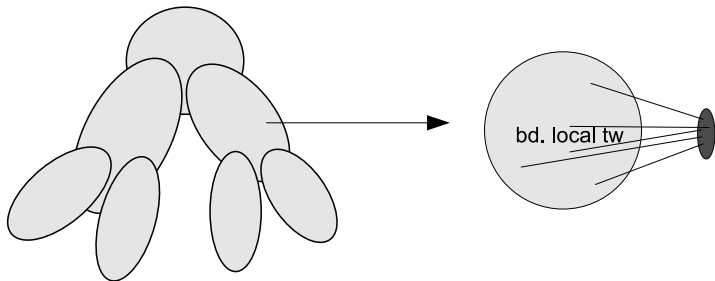


***In a block:*** Local tree-width (almost) bounded by a function  $\lambda$

We can solve the problem in each block.

Extend this to the complete graph.

## FO Model Checking: Decomposing a Graph

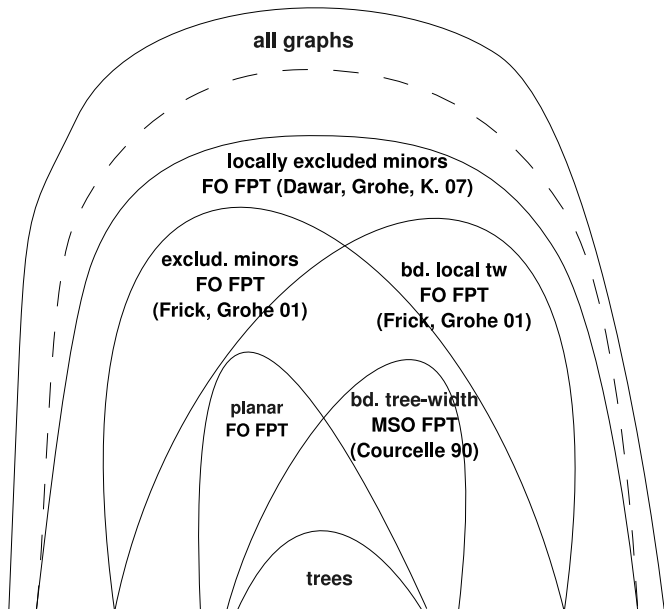


*In a block:* Local tree-width (almost) bounded by a function  $\lambda$

We can solve the problem in each block.

Extend this to the complete graph.

# Algorithmic Meta-Theorems



# Outline

Where is the border of tractability for first-order logic?

## Questions.

1. Identify classes  $\mathcal{C}$  where  $\text{MC}(\text{FO}, \mathcal{C})$  or  $\text{MC}(\text{MSO}_2, \mathcal{C})$  becomes FPT.

What are the most general classes of structures where first-order or monadic second-order model-checking becomes FPT?

↪ Part I: Algorithmic Meta-Theorems

2. Can we exactly characterise the classes  $\mathcal{C}$  of finite structures where FO or MSO model-checking is FPT?

Find criteria for intractability with the aim of identifying a property  $\mathcal{P}$  so that MSO is FPT on a class  $\mathcal{C}$  if, and only if,  $\mathcal{C}$  has property  $\mathcal{P}$ .

With today's technology this will have to be subject to assumptions in complexity theory. If  $\text{PSPACE} = \text{PTIME}$  then MSO is FPT in general.

↪ Part II: Intractability of MSO Model-Checking

## Part II: Intractability of Monadic Second-Order Logic



## Digression: Satisfiability of MSO

**Question.** Is Courcelle's theorem tight? Or can it be extended to classes of unbounded tree-width?

A (fairly) precise characterisation of the **satisfiability problem** for MSO in terms of tree-width has been given by Seese.

Let  $\mathcal{C}$  be a class of finite graphs.

**SAT(MSO,  $\mathcal{C}$ )**

**Input:** Formula  $\varphi \in \text{MSO}$

**Problem:** Is there  $G \in \mathcal{C}$  such that  $G \models \varphi$ ?

**Theorem.**  $\mathcal{C}$  class of finite graphs. (Seese 1996)

1. For all  $k \in \mathbb{N}$ ,  $\text{SAT}(\text{MSO}_2, \mathcal{C})$  is decidable for  $\mathcal{C} = \{G : \text{tw}(G) \leq k\}$ .
2. If  $\mathcal{C}$  has unbounded tree-width, then  $\text{SAT}(\text{MSO}_2, \mathcal{C})$  is undecidable.

Aim at similar characterisation for model-checking.

## Digression: Satisfiability of MSO

**Question.** Is Courcelle's theorem tight? Or can it be extended to classes of unbounded tree-width?

A (fairly) precise characterisation of the **satisfiability problem** for MSO in terms of tree-width has been given by Seese.

Let  $\mathcal{C}$  be a class of finite graphs.

**SAT(MSO,  $\mathcal{C}$ )**

*Input:* Formula  $\varphi \in \text{MSO}$

*Problem:* Is there  $G \in \mathcal{C}$  such that  $G \models \varphi$ ?

**Theorem.**  $\mathcal{C}$  class of finite graphs. (Seese 1996)

1. For all  $k \in \mathbb{N}$ ,  $\text{SAT}(\text{MSO}_2, \mathcal{C})$  is decidable for  $\mathcal{C} = \{G : \text{tw}(G) \leq k\}$ .
2. If  $\mathcal{C}$  has unbounded tree-width, then  $\text{SAT}(\text{MSO}_2, \mathcal{C})$  is undecidable.

Aim at similar characterisation for model-checking.

# Limits of MSO Model-Checking

## $f(n)$ -bounded tree-width.

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a non-decreasing function.

The tree-width of  $\mathcal{C}$  is bounded by  $f(n)$  if  $\text{tw}(G) \leq f(|G|)$  for all  $G \in \mathcal{C}$ .

## Examples.

- In Courcelle's theorem  $f(n) := c$  is constant.
- $f(n) := n$  is the maximal function that makes sense.
- We will look at  $f(n) := \log^c n$  for some small  $c$ .

## We aim at results of the form:

If  $\mathcal{C}$  is a class of graphs whose tree-width is not bounded by  $f(n)$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fixed-parameter tractable.

Clearly, with today's technology we cannot hope to prove this without relating it to any complexity theoretical assumption.

## Limits of MSO Model-Checking

### $f(n)$ -bounded tree-width.

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a non-decreasing function.

The tree-width of  $\mathcal{C}$  is bounded by  $f(n)$  if  $\text{tw}(G) \leq f(|G|)$  for all  $G \in \mathcal{C}$ .

### Examples.

- In Courcelle's theorem  $f(n) := c$  is constant.
- $f(n) := n$  is the maximal function that makes sense.
- We will look at  $f(n) := \log^c n$  for some small  $c$ .

### We aim at results of the form:

If  $\mathcal{C}$  is a class of graphs whose tree-width is not bounded by  $f(n)$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fixed-parameter tractable.

Clearly, with today's technology we cannot hope to prove this without relating it to any complexity theoretical assumption.

## Complexity of MSO under Structural Restrictions

**Definition.** For non-decreasing  $f : \mathbb{N} \rightarrow \mathbb{N}$  let  $\mathcal{C}_f := \{\mathbf{G} : \text{tw}(\mathbf{G}) \leq f(|\mathbf{G}|)\}$ .

**Theorem.** (K. 09)

MC(MSO<sub>2</sub>,  $\mathcal{C}_f$ ) is not FPT for all  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(n) > \log^{16} n$  almost everywhere, unless SAT can be solved in sub-exponential time.

**Courcelle's theorem.** If  $\mathcal{C}$  has bounded tree-width, then  $\text{MC}(\text{MSO}_2, \mathcal{C}) \in \text{FPT}$ .

The theorem follows from the following more general result on structures with unary predicates but has a simpler direct proof.

**Theorem.** (K. 09)

If  $\mathcal{C}$  is a rich and constructible class of graphs closed under colourings whose tree-width is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not FPT unless SAT can be solved in sub-exponential time.

## Complexity of MSO under Structural Restrictions

**Definition.** For non-decreasing  $f : \mathbb{N} \rightarrow \mathbb{N}$  let  $\mathcal{C}_f := \{G : \text{tw}(G) \leq f(|G|)\}$ .

**Theorem.** (K. 09)

$\text{MC}(\text{MSO}_2, \mathcal{C}_f)$  is not FPT for all  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(n) > \log^{16} n$  almost everywhere, unless SAT can be solved in sub-exponential time.

**Courcelle's theorem.** If  $\mathcal{C}$  has bounded tree-width, then  $\text{MC}(\text{MSO}_2, \mathcal{C}) \in \text{FPT}$ .

The theorem follows from the following more general result on structures with unary predicates but has a simpler direct proof.

**Theorem.** (K. 09)

If  $\mathcal{C}$  is a rich and constructible class of graphs closed under colourings whose tree-width is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not FPT unless SAT can be solved in sub-exponential time.

## Intractability on Coloured Graphs

### Theorem.

(K. 09)

If  $\mathcal{C}$  is a rich and constructible class of graphs closed under colourings whose tree-width is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}_2, \mathcal{C})$  is not FPT unless SAT can be solved in sub-exponential time.

### Classes closed under colourings.

Let  $\Sigma$  be a non-empty set of unary relation symbols, i.e. "colours", and let  $\sigma \supseteq \{E\} \dot{\cup} \Sigma$  be a relational signature with at most binary predicates.

**Definition.** The Gaifman-graph of  $\mathfrak{A} := (A, \sigma)$  is the graph  $\mathcal{G}(\mathfrak{A})$  with

- vertex set  $A$  and
- an edge between  $a, b \in A$  if  $(a, b) \in R^{\mathfrak{A}}$  for some  $R \in \sigma$ .

**Definition.** A class  $\mathcal{C}$  of  $\sigma$ -structures is closed under colourings if whenever  $\mathfrak{A} \in \mathcal{C}$  and  $\mathcal{G}(\mathfrak{A}) \cong \mathcal{G}(\mathfrak{B})$  then  $\mathfrak{B} \in \mathcal{C}$ .

Look at all  $\sigma$ -structures whose Gaifman graphs are in a class  $\mathcal{C}'$ .

## Intractability of MSO Model-Checking

*Theorem.*

(K. 09)

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

*Lemma.* Every class is constructive.

(K., Tazari)

*Definition.* Let  $\mathcal{C}$  be a class of graphs of tree-width not bounded by  $f(n)$ .  $\mathcal{C}$  is called rich (for  $f(n)$ ) if there is a polynomial  $p(x)$  s.th.

- for each  $n > 0$  there is  $G \in \mathcal{C}$  of tree-width between  $n$  and  $p(n)$  whose tree-width is not bounded by  $f(|G|)$  and
- such a graph can be computed in time  $2^{o(n)}$ .

*Remark.* Richness is a technical condition needed for any reduction from SAT as otherwise  $\mathcal{C}$  has too large gaps with respect to large tree-width.

*Proof idea of the theorem.*

1. Show the result for coloured grids.
2. Extend this to the general case.



## Intractability of MSO Model-Checking

**Theorem.**

(K. 09)

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

**Lemma.** Every class is constructive.

(K., Tazari)

**Definition.** Let  $\mathcal{C}$  be a class of graphs of tree-width not bounded by  $f(n)$ .  $\mathcal{C}$  is called rich (for  $f(n)$ ) if there is a polynomial  $p(x)$  s.th.

- for each  $n > 0$  there is  $G \in \mathcal{C}$  of tree-width between  $n$  and  $p(n)$  whose tree-width is not bounded by  $f(|G|)$  and
- such a graph can be computed in time  $2^{o(n)}$ .

**Remark.** Richness is a technical condition needed for any reduction from SAT as otherwise  $\mathcal{C}$  has too large gaps with respect to large tree-width.

**Proof idea of the theorem.**

1. Show the result for coloured grids.
2. Extend this to the general case.

## Intractability on Coloured Grids

**Theorem.** Let  $\text{GRID}$  be the class of coloured grids.

$\text{MC}(\text{MSO}, \text{GRID})$  is not fixed-parameter tractable unless  $\text{P}=\text{NP}$ .

*Proof.* Let  $\text{SAT}$  be the satisfiability problem for propositional logic.

$\text{SAT}$  is NP-complete but can be solved in quadratic time by an NTM  $\mathcal{M}$ .

We reduce  $\text{SAT}$  to  $\text{MC}(\text{MSO}, \mathcal{G})$  as follows.

1. Given a propositional logic formula  $w$  of length  $n$  in CNF, construct an  $n^2 \times n^2$ -grid  $G_w$  and colour its bottom row by  $w$ .
2. Construct a formula  $\varphi_{\mathcal{M}} \in \text{MSO}$  which guesses a colouring of the grid and checks that this encodes a successful run of  $\mathcal{M}$  on input  $w$ .

Then  $w \in \text{SAT}$  if, and only if,  $G_w \models \varphi_{\mathcal{M}}$ .

## Intractability on Coloured Grids

**Theorem.** Let  $\text{GRID}$  be the class of coloured grids.

$\text{MC}(\text{MSO}, \text{GRID})$  is not fixed-parameter tractable unless  $\text{P}=\text{NP}$ .

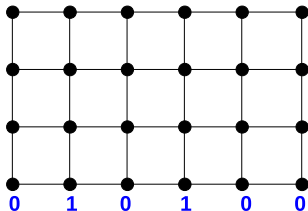
**Proof.** Let  $\text{SAT}$  be the satisfiability problem for propositional logic.

$\text{SAT}$  is NP-complete but can be solved in quadratic time by an NTM  $\mathcal{M}$ .

We reduce  $\text{SAT}$  to  $\text{MC}(\text{MSO}, \mathcal{G})$  as follows.

1. Given a propositional logic formula  $w$  of length  $n$  in CNF, construct an  $n^2 \times n^2$ -grid  $G_w$  and colour its bottom row by  $w$ .
2. Construct a formula  $\varphi_{\mathcal{M}} \in \text{MSO}$  which guesses a colouring of the grid and checks that this encodes a successful run of  $\mathcal{M}$  on input  $w$ .

Then  $w \in \text{SAT}$  if, and only if,  $G_w \models \varphi_{\mathcal{M}}$ .



## Intractability on Coloured Grids

**Theorem.** Let  $\text{GRID}$  be the class of coloured grids.

$\text{MC}(\text{MSO}, \text{GRID})$  is not fixed-parameter tractable unless  $\text{P}=\text{NP}$ .

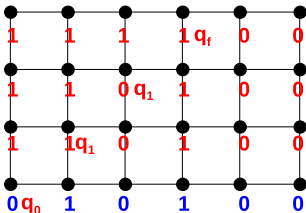
**Proof.** Let  $\text{SAT}$  be the satisfiability problem for propositional logic.

$\text{SAT}$  is NP-complete but can be solved in quadratic time by an NTM  $\mathcal{M}$ .

We reduce  $\text{SAT}$  to  $\text{MC}(\text{MSO}, \mathcal{G})$  as follows.

1. Given a propositional logic formula  $w$  of length  $n$  in CNF, construct an  $n^2 \times n^2$ -grid  $G_w$  and colour its bottom row by  $w$ .
2. Construct a formula  $\varphi_{\mathcal{M}} \in \text{MSO}$  which guesses a colouring of the grid and checks that this encodes a successful run of  $\mathcal{M}$  on input  $w$ .

Then  $w \in \text{SAT}$  if, and only if,  $G_w \models \varphi_{\mathcal{M}}$ .



## Intractability on Coloured Grids

**Theorem.** Let  $\mathcal{G}$  be the class of coloured grids.

$\text{MC}(\text{MSO}, \mathcal{G})$  is not fixed-parameter tractable unless  $\text{P}=\text{NP}$ .

**Proof.** We reduce SAT to  $\text{MC}(\text{MSO}, \mathcal{G})$  as follows.

1. Given a propositional logic formula  $w$  of length  $n$  in CNF, construct an  $n^2 \times n^2$ -grid  $G_w$  and colour its bottom row by  $w$ .
2. Construct a formula  $\varphi_{\mathcal{M}} \in \text{MSO}$  which guesses a colouring of the grid and checks that this encodes a successful run of  $\mathcal{M}$  on input  $w$ .

Hence, if “ $G_w \models \varphi_{\mathcal{M}}$ ?” could be decided in time  $f(|\varphi|) \cdot |G_w|^c$  then “ $w \in \text{SAT}$ ” could be decided in time

$$f(|\varphi|) \cdot |G_w|^c = f(|\varphi|) \cdot |w|^{2c} = \mathcal{O}(|w|^{2c}),$$

as  $\mathcal{M}$  and hence  $\varphi_{\mathcal{M}}$  is fixed. □

# *Intractability of MSO Model-Checking*

## *Theorem.*

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $f(n) := \log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

*Grids.* We know that  $\text{MC}(\text{MSO}_2, \text{GRIDS})$  is not FPT unless  $\text{P}=\text{NP}$ .

*Idea.* Use this to show the full result.

Define grids in graphs of large tree-width in MSO.

## Limits of MSO Model-Checking

*Theorem.*

If  $\mathcal{C}$  is a rich class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exp. time.

*First and wrong proof idea.* Use the excluded grid theorem.

*Theorem.*

(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid (as a minor).

*Proof Idea:* given a propositional logic formula  $w$  construct  $G_w$  so that  $G_w$  contains  $|w|^2 \times |w|^2$ -grid and proceed as before.

*Problem.*  $f(n) := 20^{2 \cdot k^5}$

(Robertson, Seymour, Thomas)

## Limits of MSO Model-Checking

*Theorem.*

If  $\mathcal{C}$  is a rich class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exp. time.

*First and wrong proof idea.* Use the excluded grid theorem.

*Theorem.*

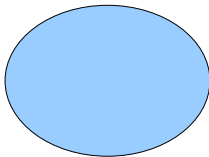
(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid (as a minor).

*Proof Idea:* given a propositional logic formula  $w$  construct  $G_w$  so that  $G_w$  contains  $|w|^2 \times |w|^2$ -grid and proceed as before.

*Problem.*  $f(n) := 20^{2 \cdot k^5}$

(Robertson, Seymour, Thomas)





## Limits of MSO Model-Checking

*Theorem.*

If  $\mathcal{C}$  is a rich class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exp. time.

*First and wrong proof idea.* Use the excluded grid theorem.

*Theorem.*

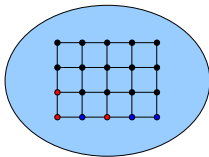
(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid (as a minor).

*Proof Idea:* given a propositional logic formula  $w$  construct  $G_w$  so that  $G_w$  contains  $|w|^2 \times |w|^2$ -grid and proceed as before.

*Problem.*  $f(n) := 20^{2 \cdot k^5}$

(Robertson, Seymour, Thomas)



## Limits of MSO Model-Checking

*Theorem.*

If  $\mathcal{C}$  is a rich class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exp. time.

*First and wrong proof idea.* Use the excluded grid theorem.

*Theorem.*

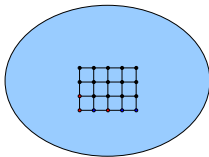
(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid (as a minor).

*Proof Idea:* given a propositional logic formula  $w$  construct  $G_w$  so that  $G_w$  contains  $|w|^2 \times |w|^2$ -grid and proceed as before.

*Problem.*  $f(n) := 20^{2 \cdot k^5}$

(Robertson, Seymour, Thomas)



## Limits of MSO Model-Checking

*Theorem.*

If  $\mathcal{C}$  is a rich class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exp. time.

*First and wrong proof idea.* Use the excluded grid theorem.

*Theorem.*

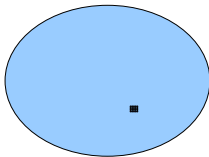
(Robertson, Seymour)

There is a computable function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that all graphs of tree-width  $\geq f(k)$  contain a  $k \times k$ -grid (as a minor).

*Proof Idea:* given a propositional logic formula  $w$  construct  $G_w$  so that  $G_w$  contains  $|w|^2 \times |w|^2$ -grid and proceed as before.

*Problem.*  $f(n) := 20^{2 \cdot k^5}$

(Robertson, Seymour, Thomas)

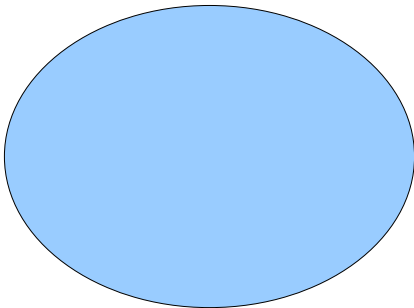


## Grid-Like Minors

*Theorem.*

(Reed, Wood)

Any graph  $G$  of tree-width  $\geq k^5$  contains two sets  $\mathcal{P}, \mathcal{Q}$  of disjoint paths such that their intersection graph  $\mathcal{I}(\mathcal{P}, \mathcal{Q})$  contains a  $K_k$ -minor.

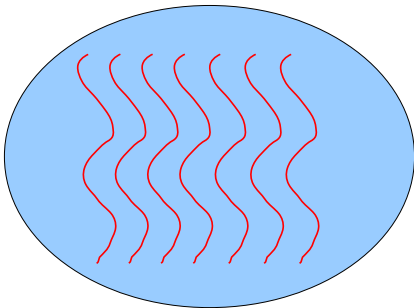


## Grid-Like Minors

*Theorem.*

(Reed, Wood)

Any graph  $G$  of tree-width  $\geq k^5$  contains two sets  $\mathcal{P}, \mathcal{Q}$  of disjoint paths such that their intersection graph  $\mathcal{I}(\mathcal{P}, \mathcal{Q})$  contains a  $K_k$ -minor.

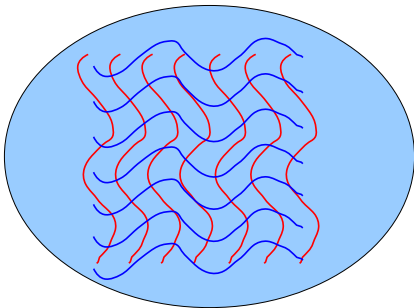


## Grid-Like Minors

*Theorem.*

(Reed, Wood)

Any graph  $G$  of tree-width  $\geq k^5$  contains two sets  $\mathcal{P}, \mathcal{Q}$  of disjoint paths such that their intersection graph  $\mathcal{I}(\mathcal{P}, \mathcal{Q})$  contains a  $K_k$ -minor.

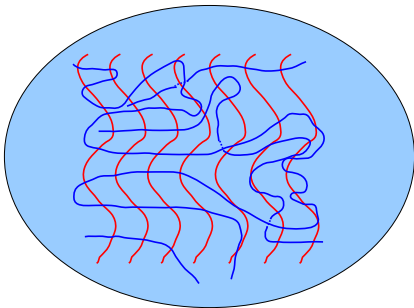


## Grid-Like Minors

*Theorem.*

(Reed, Wood)

Any graph  $G$  of tree-width  $\geq k^5$  contains two sets  $\mathcal{P}, \mathcal{Q}$  of disjoint paths such that their intersection graph  $\mathcal{I}(\mathcal{P}, \mathcal{Q})$  contains a  $K_k$ -minor.

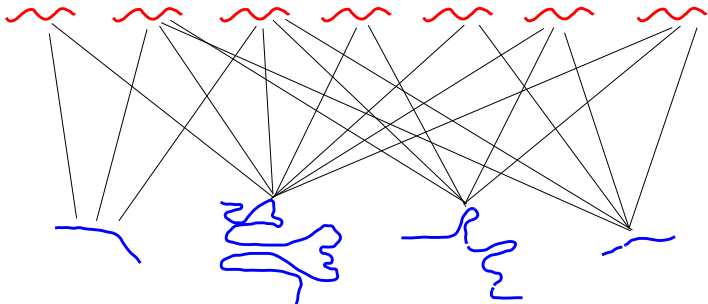


## Grid-Like Minors

*Theorem.*

(Reed, Wood)

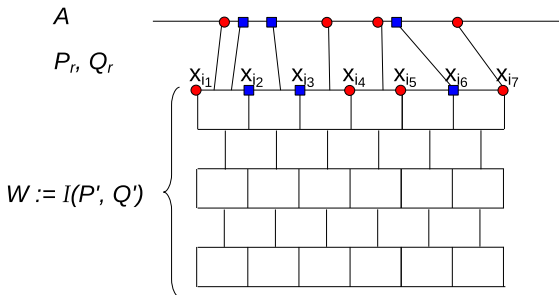
Any graph  $G$  of tree-width  $\geq k^5$  contains two sets  $\mathcal{P}, \mathcal{Q}$  of disjoint paths such that their intersection graph  $\mathcal{I}(\mathcal{P}, \mathcal{Q})$  contains a  $K_k$ -minor.





## Pseudo-Walls

**Theorem.** There is a constant  $c \geq 1$  such that if  $G$  is a graph of tree-width  $\geq c \cdot k^8 \cdot \sqrt{\log(k^2)}$  then  $G$  contains an MSO-definable  $\Sigma$ -coloured pseudo-wall of order  $k$ .



**Definition.** A class  $\mathcal{C}$  of graphs is **constructible** if these pseudo-walls can be computed in polynomial time.

## Fixed-Parameter Intractability of MSO

*Theorem.*

(K. 09)

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

*Proof sketch.* We reduce SAT to  $\text{MC}(\text{MSO}, \mathcal{C})$  as follows.

1. Given a propositional logic formula  $w$  of length  $n$  in 3-CNF, construct  $G_w \in \mathcal{C}$  containing a def. pseudo-wall and colour its bottom-row by  $w$ .
2. Construct a formula  $\varphi_{\mathcal{M}} \in \text{MSO}$  which
  - defines the pseudo-wall in  $G_w$  and
  - guesses a colouring encoding a successful run of NTM  $\mathcal{M}$  on input  $w$ .

Then  $w \in \text{SAT}$  if, and only if,  $G_w \models \varphi_{\mathcal{M}}$ . Hence, if “ $G_w \models \varphi_{\mathcal{M}}$ ?” could be decided in time  $f(|\varphi|) \cdot |G_w|^c$  then “ $w \in \text{SAT}$ ” could be decided in time

$$2^{r \cdot |w|^{\frac{1}{y}}} = 2^{o(|w|)}$$

for some  $r > 0$  and  $y > 1$ . □

## Fixed-Parameter Intractability of MSO

**Theorem.** (K. 09)

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

**Definition.** For  $f : \mathbb{N} \rightarrow \mathbb{N}$  let  $\mathcal{C}_f := \{G : \text{tw}(G) \leq f(|G|)\}$ .

In  $\mathcal{C}_f$ , colours can easily be eliminated.

**Theorem.** (Courcelle 90 + K. 09)

1. If  $\mathcal{C}$  has bounded tree-width, then  $\text{MC}(\text{MSO}_2, \mathcal{C}) \in \text{FPT}$ .
2.  $\text{MC}(\text{MSO}_2, \mathcal{C}_f)$  is not FPT for all  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(n) > \log^{16} n$  almost everywhere, unless SAT can be solved in sub-exp. time.

## Fixed-Parameter Intractability of MSO

**Theorem.** (K. 09)

If  $\mathcal{C}$  is a rich and constructive class of graphs closed under colourings such that the tree-width of  $\mathcal{C}$  is not bounded by  $\log^{16} n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not fpt unless SAT can be solved in sub-exponential time.

**Definition.** For  $f : \mathbb{N} \rightarrow \mathbb{N}$  let  $\mathcal{C}_f := \{\mathbf{G} : \text{tw}(\mathbf{G}) \leq f(|\mathbf{G}|)\}$ .

In  $\mathcal{C}_f$ , colours can easily be eliminated.

**Theorem.** (Courcelle 90 + K. 09)

1. If  $\mathcal{C}$  has bounded tree-width, then  $\text{MC}(\text{MSO}_2, \mathcal{C}) \in \text{FPT}$ .
2.  $\text{MC}(\text{MSO}_2, \mathcal{C}_f)$  is not FPT for all  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(n) > \log^{16} n$  almost everywhere, unless SAT can be solved in sub-exp. time.

## Further Work and Open Problems

The main technical result relied on coloured graphs.

*Question.* Can we prove a similar result without colours on graphs closed under sub-graphs?

*Conjecture.* (K., Tazari)

There is a constant  $c > 0$ , such that if  $\mathcal{C}$  is a rich class of graphs closed under taking sub-graphs whose tree-width is not bounded by  $\log^c n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not FPT unless SAT is in sub-exp. time.

*Open Problems.*

1. Can we do something similar for  $\text{MSO}_1$ ?
2. More importantly, can we do something similar for first-order model-checking?

## Further Work and Open Problems

The main technical result relied on coloured graphs.

*Question.* Can we prove a similar result without colours on graphs closed under sub-graphs?

*Conjecture.* (K., Tazari)

There is a constant  $c > 0$ , such that if  $\mathcal{C}$  is a rich class of graphs closed under taking sub-graphs whose tree-width is not bounded by  $\log^c n$  then  $\text{MC}(\text{MSO}, \mathcal{C})$  is not FPT unless SAT is in sub-exp. time.

*Open Problems.*

1. Can we do something similar for  $\text{MSO}_1$ ?
2. More importantly, can we do something similar for first-order model-checking?

## Conclusion

## Conclusion

*Algorithmic Meta-Theorems.* Results of the form: every problem definable in MSO can be solved efficiently on graph classes of bounded tree-width.

First-order model-checking is FPT on

- planar graphs and classes of bounded local tree-width
- graph classes excluding a fixed minor
- graph classes locally excluding a minor.

*Question.* What are most general results we can prove?

*Intractability results.*

- MSO model-checking is not FPT on graph classes whose tree-width grows essentially logarithmically (under some side conditions).
- Some very weak intractability results for first-order logic are known.

*Question.* Can we find a characterisation of tractability for first-order logic?

*Satisfiability.* Can we also characterise classes of finite structures with decidable first-order theory?